

Audit Committee Meeting Chief Internal Auditor Report

Tranae S. Rey

Supervisor, Financial Audit

July 26, 2023

INTERNAL AUDIT REPORT AGENDA

- Internal Audit Update
- Partners in C.A.R.E. (Compliance & Audit Resource Engagement)
- Issue Follow-up
- FY23 Audit Work Plan

Internal Audit Update

FY23 INTERNAL AUDIT UPDATE

Audits Completed (3)

- Compensation At-Risk Review (FY22) – Issued 10.27.2022
- CDTC's Donation Process – Issued 1.17.2023
- Price Transparency Rule – Issued 2.1.2023

In-Progress (6)

- Workforce Terminations – Role Based Security - (*Fieldwork*)
- Operating Room Charge Reconciliation - (*Wrap-up*)
- Inventory | Valuation of Medical and Supplies - (*Fieldwork*)
- Employee and Vendor Badge Access - (*Fieldwork*)
- Healthcare for the Homeless Grants Review (*Wrap-up*)
- Validation of Unit Mapping (Pre-planning)

Not Started (2)

- Benefits Eligibility
- Biomed Contract and Implementation

***Partners in C.A.R.E.
(Compliance & Audit Resource Engagement)***

INTERNAL AUDIT PARTNERS IN C.A.R.E

To partner with the organization on all efforts and providing tools that stakeholders can use, we created Partners in C.A.R.E. (**Compliance & Audit Resource Engagement**).

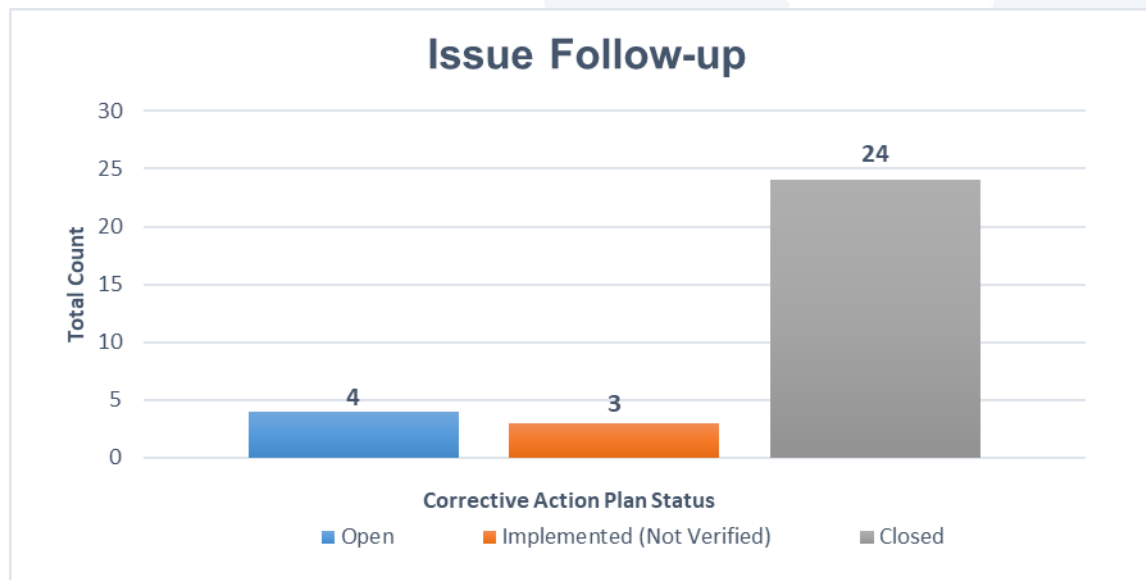
Some of the recent Partners in C.A.R.E initiatives include:

- System Security Audit Report (SSAR) Continuous Monitoring – 3Q23
- Pager Usage Cost Savings Opportunities – Advisory Memo Issued 5.16.2023

***Internal Audit
Issue Follow-up Update***

FY23 IAD ISSUE FOLLOW-UP UPDATE

During FY23, 11 audits reports were issued, with a total of 31 Corrective Action Plans (CAPs) provided by management. The following graph provides a summary of the implementation status of the CAPs.



Status Definitions	
Open	CAP not implemented.
Implemented (Not Verified)	CAP completed. Pending IAD verification and testing.
Closed	CAP completed. Verified by IAD as implemented.

Internal Audit Work Plan

FY23 INTERNAL AUDIT WORK PLAN

Audit Activity	FY 2023																			
		J	A	S	O	N	D	J	F	M	A	M	J	J	A	S	O	N	D	
CDTC Foundation			█																	
Biomed Contract and Implementation														█						
Benefits Eligibility														█						
Grants Review											█									
Badge Access – Vendor/Employee											█			█						
Workforce Terminations							█													
Validation of Unit Mapping													█		█					
OR Charge Reconciliation								█												
Price Transparency Act				█																
Annual Inventory											█									
Balanced Scorecard		█																		
Quarterly Audit Committee Meeting:		◆			◆			◆			◆			◆						